

09/381243

| ISSUE CLASSIFICATION | |
|----------------------|----------|
| Class | Subclass |

| | |
|--|------------------------|
| <div>17</div> <div>O.I.P.E.</div> <div>SCANNED <u>CIH</u> Q.A. <u>17</u></div> | <div>PATENT DATE</div> |
|--|------------------------|

| | | | | | | |
|----|------------------------------|-------------------|--------------|----------------|--------------------------|---------------------|
| SE | APPLICATION NO. 09/381243 | CONT/PRIOR D F | CLASS 705 | SUBCLASS 36 | ART UNIT 2761 3628 | EXAMINER SOUTHIS |
|----|------------------------------|-------------------|--------------|----------------|--------------------------|---------------------|

[illegible]

CHARLES R. HASKINS
MARILYN HASKINS

METHOD AND SYSTEM FOR ESTABLISHING, MONITORING, AND RESERVING A
GUARANTEED MINIMUM VALUE RETURN ON SELECT INVESTMENTS

PTO-2040
12/89

PREPARED AND APPROVED FOR ISSUE

| ISSUING CLASSIFICATION | | | | | | | | | | | | | | | | |
|-------------------------------------|--|--|-----------------|--|--|---------------------------|--|--|--|--|--|--|--|--|--|--|
| ORIGINAL | | | | | | CROSS REFERENCE(S) | | | | | | | | | | |
| CLASS | | | SUBCLASS | | | CLASS | SUBCLASS (ONE SUBCLASS PER BLOCK) | | | | | | | | | |
| | | | | | | | | | | | | | | | | |
| INTERNATIONAL CLASSIFICATION | | | | | | | | | | | | | | | | |
| | | | / | | | | | | | | | | | | | |
| | | | / | | | | | | | | | | | | | |
| | | | / | | | | | | | | | | | | | |
| | | | / | | | | | | | | | | | | | |
| | | | / | | | | | | | | | | | | | |
| | | | / | | | | | | | | | | | | | |

☐ Continued on Issue Slip Inside File Jacket

| | | | | | |
|---|--|-------------|------------|-----------------------------------|----------------------|
| <input type="checkbox"/> TERMINAL DISCLAIMER | DRAWINGS | | | CLAIMS ALLOWED | |
| | Sheets Drwg. | Figs. Drwg. | Print Fig. | Total Claims | Print Claim for O.G. |
| <input type="checkbox"/> a) The term of this patent subsequent to _____ (date) has been disclaimed. | _____ (Assistant Examiner) (Date) | | | NOTICE OF ALLOWANCE MAILED | |
| | _____ (Primary Examiner) (Date) | | | | |
| <input type="checkbox"/> b) The term of this patent shall not extend beyond the expiration date of U.S. Patent. No. _____ _____ _____ | | | | ISSUE FEE | |
| | | | Amount Due | Date Paid | |
| <input type="checkbox"/> c) The terminal _____ months of this patent have been disclaimed. | | | | ISSUE BATCH NUMBER | |
| | _____ (Legal Instruments Examiner) (Date) | | | | |

WARNING: The information disclosed herein may be restricted. Unauthorized disclosure may be prohibited by the United States Code Title 35, Sections 122, 181 and 368. Possession outside the U.S. Patent & Trademark Office is restricted to authorized employees and contractors only.

Form **PTO-436A**
(Rev. 6/98)

BEST AVAILABLE COPY

(LABEL AREA)

(FACE)